

CISI



CHARTERED INSTITUTE FOR
SECURITIES & INVESTMENT

SCOTLAND CONFERENCE 29 OCTOBER 2026



"CALLING THE PLAYS"
FINANCIAL PLANNING



"WINNING THE BREAKDOWN"
OPERATIONS & COMPLIANCE

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	FINANCIAL PLANNING	COMPLIANCE/ OPERATIONS
08:45 - 09:30	Conference Registration	
09:30–09:35	Welcome and introduction <i>Jonathan Gibson CFP™ Chartered FCSI, CISI Scotland committee Co-President Colin Anderson, Senior CRM Manager, CISI</i>	Welcome and introduction <i>Shaid Moughal CISI Scotland committee Co-President Tracy Vegro OBE, CISI Chief Executive Officer</i>
09:35–10:25	From set piece to strategy: mastering family investment companies <i>Robbie Hefferman, Quilter Cheviot</i>	How AI and technology can actually work for compliance and operations teams <i>Lee Robertson</i>
10:25–11:15	How to build the ultimate financial planner in a post AI world <i>Steve Martin, The Financial Planning Training Academy</i>	Leadership Unbound in Operations <i>Michelle Hoskin</i>
11:15–11:35	Morning break	Morning break
11:35–12:25	Employee ownership trusts <i>Barry Horner, Paradigm Norton</i>	When bias becomes misconduct: behavioural drivers of non-financial misconduct <i>Alan Whitte</i>
12:25–13:15	Beyond the money: living well, retiring well, and dying tidily <i>Josh Butten, boost</i>	What makes a great team <i>Tommy Seymour & Brian McLean</i>
13:15–14:15	Lunch break	Lunch break
14:15–15:05	Productivity, Profit & People <i>Pete Wozniak, Dimensional Fund Advisors</i>	Compliance vs reality: navigating operational pressure in financial planning firms <i>Michelle Hoskins, Pauline Hamilton, Sally Collie & James Borley</i>
15:05–15:55	Adviser vs Client Perception: Key Insights from the Vanguard Advice Survey <i>Fabrizio Zumbo, Vanguard</i>	Keeping control: Compliance in the age of AI and agentic systems <i>Chris Davies, Model Office</i>
15:55–16:15	Afternoon break	Afternoon break
16:15–17:00	How Aberdeen are using Frontier technology to enable the future of Advice <i>Derek Smith, Aberdeen</i>	Leadership in a changing World <i>Andy Nicol</i>
17:00–17:05	Closing statements <i>Jonathan Gibson CFP™ Chartered FCSI & Tracy Vegro OBE</i>	Closing statements <i>Shaid Moughal & Colin Anderson</i>